

Ely Prudent Portfolios, LLC Privacy Policy

- Your relationship with Prudent Investor Advisors, EEC is based on trust and confidence.
- To fulfill its responsibilities to you, Prudent Investor Advisors, EEC requires that you provide current and accurate financial and personal information.
- You deserve to expect the Prudent Investor Advisors, EEC will protect the information you have provided in a manner that is safe, secure and professional.
- Prudent Investor Advisors, EEC and its employees are committed to protecting your privacy and to safeguarding that information.”

Safeguarding Customer Documents

During regular business hours access to customer records is monitored so that only those with approval may access the files. During hours in which the company is not in operation, the customer records will be locked.

No individual who is not so authorized shall obtain or seek to obtain personal and financial customer information. No individual with authorization to access personal and financial customer information shall share that information in any manner without the specific consent of a firm principal.

Failure to observe Prudent Investor Advisors, EEC procedures regarding customer and consumer privacy will result in discipline and may lead to termination.

Sharing Nonpublic Personal and Financial Information

Ely Prudent Portfolios, LLC is committed to the protection and privacy of its customer's and consumer's personal and financial information. Ely Prudent Portfolios, LLC will not share such information with any nonaffiliated third party except:

When necessary to complete a transaction in the account, such as with the clearing firm or account custodians

- When required to maintain or service the account
- To resolve customer disputes
- When requested by a fiduciary or beneficiary on the account
- To rating agencies rating, or to the client's attorneys or accountants,
- When required by a regulatory agency, or for other reasons required or permitted by law
- In connection with a sale or merger of Ely Prudent Portfolios, LLC business.
- In any circumstance that has the customer's instruction or consent.